

## JAPAN 2020 GDI Scorecard



## **Grade Score Political Risk** 65 Legislative Scrutiny 100 Q1 Q2 Defence Committee Q3 **Defence Policy Debate** В 69 Q4 CSO Engagement 50 Q5 Conventions: UNCAC / OECD В 75 Q6 Public Debate В 75 Q7 **Anticorruption Policy** F 0 Q8 Compliance and Ethics Units 83 Q9 **Public Trust in Institutions** NS Q10 Risk Assessments В 67 Q11 **Acquisition Planning** Q12 Budget Transparency & Detail 100 В Q13 **Budget Scrutiny 75** Q14 **Budget Availability** 92 Q15 В 75 Defence Income Q16 Internal Audit NEI Q17 External Audit 88 Q18 Natural Resources В 67 Q19 100 Organised Crime Links Organised Crime Policing Q20 92 Q21 Intelligence Services Oversight 13 Q22 Intelligence Services Recruitment Ε 25 Q23 Export Controls (ATT) В 75 Q76 Lobbying

|     | Financial Risk                    | В | 79  |
|-----|-----------------------------------|---|-----|
| Q24 | Asset Disposal Controls           | D | 42  |
| Q25 | Asset Disposal Scrutiny           | Α | 92  |
| Q26 | Secret Spending                   | A | 100 |
| Q27 | Legislative Access to Information | В | 75  |
| Q28 | Secret Program Auditing           | C | 50  |
| Q29 | Off-budget Spending               | A | 100 |
| Q30 | Access to Information             | C | 63  |
| Q31 | Beneficial Ownership              | A | 100 |
| Q32 | Military-Owned Business Scrutiny  | Α | 100 |
| Q33 | Unauthorised Private Enterprise   | A | 88  |
| Q77 | Defence Spending                  | C | 56  |

| Personnel Risk                      | В   | 79   |
|-------------------------------------|---|--|
| Public Commitment to Integrity      | C   | 58   |
| Disciplinary Measures for Personnel | A   | 100  |
| Whistleblowing                      | В   | 75   |
| High-risk Positions                 | C   | 50   |
| Numbers of Personnel                | A   | 83   |
| Pay Rates and Allowances            | A   | 100  |
| Payment System                      | A   | 100  |
| Objective Appointments              | C   | 50   |
| Objective Promotions                | В   | 75   |
| Bribery to Avoid Conscription       |   | NA   |
| Bribery for Preferred Postings      | A   | 100  |
| Chains of Command and Payment       | В   | 75   |
|                                     | Public Commitment to Integrity  Disciplinary Measures for Personnel  Whistleblowing  High-risk Positions  Numbers of Personnel  Pay Rates and Allowances  Payment System  Objective Appointments  Objective Promotions  Bribery to Avoid Conscription  Bribery for Preferred Postings | Public Commitment to Integrity  Disciplinary Measures for Personnel  Whistleblowing  Biligh-risk Positions  Conumbers of Personnel  A Pay Rates and Allowances  A Payment System  A Objective Appointments  Cobjective Promotions  Bribery to Avoid Conscription  Bribery for Preferred Postings |

|             | LL COU<br>MODE<br>ISK |      | CORE      | A > 8<br>B > 0<br>C > 1<br>D > 3 | K GRADE 33-100 V 57-82 LOV 50-66 MO 33-49 HIG 17-32 VEF | N<br>DERATE<br>H<br>H HIGH |
|-------------|-----------------------|------|-----------|----------------------------------|---|----------------------------|
| A B         | C                     | 0    | <b>(</b>  |                                  | Ð   |                            |
| LOW         | MODERATE              | HIGH | VERY HIGH |                                  | CRITICAL  |                            |
| VERY<br>LOW |                       |      |           |                                  | Grade   | Score                      |

| t A  | 94       |
|------|----------|
| ct A | 100      |
| В    | 67       |
| S D  | 42       |
| A    | 100      |
|      | ct A B S |

|     | Operational Risk                    | F | 8  |
|-----|-------------------------------------|---|----|
| Q51 | Military Doctrine                   | F | 0  |
| Q52 | Operational Training                | F | 0  |
| Q53 | Forward Planning                    | F | 13 |
| Q54 | Corruption Monitoring in Operations | F | 0  |
| Q55 | Controls in Contracting             | E | 25 |
| Q56 | Private Military Contractors        |   | NS |

|     | Procurement Risk                   | В | 68  |
|-----|------------------------------------|---|-----|
| Q57 | Procurement Legislation            | В | 75  |
| Q58 | Procurement Cycle                  | В | 75  |
| Q59 | Procurement Oversight Mechanisms   | A | 83  |
| Q60 | Potential Purchases Disclosed      | A | 100 |
| Q61 | Actual Purchases Disclosed         | A | 100 |
| Q62 | Business Compliance Standards      | A | 88  |
| Q63 | Procurement Requirements           | В | 75  |
| Q64 | Competition in Procurement         | C | 50  |
| Q65 | Tender Board Controls              | C | 58  |
| Q66 | Anti-Collusion Controls            | A | 100 |
| Q67 | Contract Award / Delivery          | C | 56  |
| Q68 | Complaint Mechanisms               |   | NEI |
| Q69 | Supplier Sanctions                 | Α | 100 |
| Q70 | Offset Contracts                   | C | 50  |
| Q71 | Offset Contract Monitoring         | C | 50  |
| Q72 | Offset Competition                 | Ε | 25  |
| Q73 | Agents and Intermediaries          | В | 75  |
| Q74 | Financing Packages                 | F | 0   |
| Q75 | Political Pressure in Acquisitions |   | NS  |

|            | NEI | Not enough information to score indicator |
|------------|-----|---|
| <b>KEY</b> | NS  | Indicator is not scored for any country   |
|            | NA  | Not applicable                            |